

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
P-5350

In Re Application Of: Abbot, et al.

DEC 09 2004

Serial No.

09/220,436

Filing Date

12/24/1998

Examiner

Unknown

Group Art Unit

2737

Title: Method and Apparatus for Dynamic Command Extensibility in an Intelligent Agent

Address to:

Assistant Commissioner for Patents
Washington, D.C. 20231

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
2. a Notice of Allowance under 37 CFR 1.311,

whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

OR

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

EL178945845US

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
P-5350

In Re Application Of: **Abbot, et al.**

Serial No.
09/220,436

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Examiner
Unknown

Group Art Unit
2737

Title: **Method and Apparatus for Dynamic Command Extensibility in an Intelligent Agent**

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
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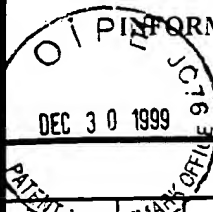
Thomas W. Ryan (43,072)

Typed or Printed Name of Person Mailing Correspondence

Dated: **December 30, 1999**


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INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Docket Number (Optional)

P-250

Application Number

09/220,436

Applicant(s)

Abbott, et al.

Filing Date

12.24.1998

Group Art Unit

2737

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
SW	1	5,155,847	10/13/1992	Kirouac, et al.	395	600	
SW	2	5,564,051	10/8/1996	Halliwell, et al.	395	600	
SW	3	5,586,304	12/17/1996	Stupek, et al.	395	712	
SW	4	5,764,992	6/9/1998	Kullick, et al.	395	712	
							JAN 5 - 2000
							Group 2700

FOREIGN PATENT DOCUMENTS

REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

5.	"Seeking the Holy Grail of Web-Based Business Intelligence" by Wayne D. Eckerson www.brio.com/library/hg2.html
6.	"Programing in Lua/P" by Stephan Herrmann swt.cs.tu-berlin.de/~stephan/pirol/LauP/programming.html

EXAMINER

Steve Willett

DATE CONSIDERED

2/24/00

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance; not considered. Include copy of this form with next communication to applicant.

(Use several sheets if necessary)

Application Number	09/220,436
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Applicant(s)
Abbott, et al.

Filing Date 12.24.1998

Group Art Unit	2737
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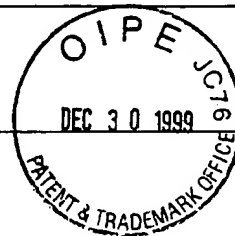
•EXAMINER
INITIAL

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

"Knowledge Lab Products" Author Unknown
www.krdl.org.sg/RND/knowledge/projects/index.html

"Plug In Your Browser for More Power" by Josh Linder
www.apnpc.com.au/intranet/issues/1996/plugin.html

"Agents: Not Just for Bond Anymore" by Bret Sommers
www.javaworld.com/javaworld/jw-04-1997/jw-04-agents.html



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~~Group 2790~~

EXAMINER

DATE CONSIDERED

***EXAMINER:** Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance not considered. Include copy of this form with next communication to applicant.

CERTIFICATE OF MAILING AND SERVICE

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail (EL178945845US) in an envelope addressed to: BOX DD- NO FEE; Assistant Commissioner for Patents, Washington, D.C. 20231-0001, this 30th day of December, 1999, postage prepaid.

Kathryn Walczak
Kathryn Walczak

December 30, 1999
Date of Signature



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| () Application Papers & Filing Fee | () Renewal Application |
| () Amendment | () Letter |
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| () Request for Extension of Time | () Power of Attorney |
| () Sections 8 & 15 Affidavit | () Patent Maintenance Fee |
| (XX) Information Disclosure Statement w/9 cited references | |
| (XX) Return receipt postcard -Mailed Express Mail 12/30/99 | |

Client: Platinum Technology, Inc.

XXXXX TITLE: METHOD AND APPARATUS FOR DYNAMIC COMMAND
Mark: EXTENSIBILITY IN AN INTELLIGENT AGENT

Ser. No.: 09/220,436 Filing Date: 12/24/98

Reg. No.: _____

Pat. No.: _____

Atty. or Para.: Thomas W. Ryan, Esq.

Applicant : Aaron Abbott et al.
Serial No. : 09/220,436
Filed : December 24, 1998
Page : 1 of 1

Attorney's Docket No. 17646-180001 / 19980044



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Aaron Abbott et al.
Serial No. : 09/220,436
Filed : December 24, 1998
Title : METHOD AND APPARATUS FOR DYNAMIC COMMAND EXTENSIBILITY
IN AN INTELLIGENT AGENT

Art Unit : 2141
Examiner : S. Willett

MAIL STOP RCE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

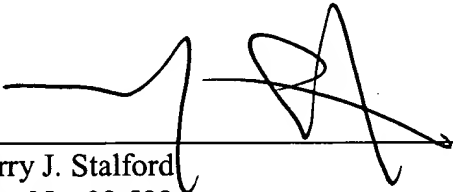
INFORMATION DISCLOSURE STATEMENT

Applicants submit the attached form PTO-1449 with references listed accompanied by copies of any foreign patent documents and non-patent literature. A copy of a communication from a foreign patent office in a counterpart application is also enclosed.

This filing is being made with the filing of a Request for Continued Examination. No fee is required. Please apply any deficiencies or any other required fees or any credits to deposit account 06-1050, referencing the attorney docket number shown above

Respectfully submitted,

Date: December 9, 2004



Terry J. Stalford
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December 9, 2004
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U.S. Department of Commerce
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Attorney's Docket No.

17646-180001

(19980044)

Application No.

09/220,436

Information Disclosure Statement**by Applicant**

(Use several sheets if necessary)

(37 CFR § 1.96(b))

Applicant

Aaron Abbott et al.

Filing Date

December 24, 1998

Group Art Unit

2141

U.S. Patent Documents

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						

Foreign Patent Documents or Published Foreign Patent Applications

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AK	EP 0 817 046 A2	01/07/1998	EPO				
	AL							
	AM							
	AN							
	AO							

Other Documents (include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document
	AP	Lewandowski, Scott M., "Frameworks for Component-Based Client/Server Computing," Department of Computer Science, Brown University, <u>ACM Computing Surveys</u> , Vol. 30, No. 1, March 1998, pp 3-27
	AQ	Orfali, Robert, et al., <u>Client/Server Programming with JAVA and CORBA</u> , ISBN 0-471-16351-1, copyright 1997 by John Wiley & Sons, Inc., pp 1-57
	AR	Communication (1 page) and Supplementary European Search Report (2 pages), EP 99 96 7576, mailed 06/16/2004
	AS	

Examiner Signature

Date Considered

EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.